

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>					Complete if Known	
					Application Number	09/900,373
					Filing Date	July 6, 2001
					First Named Inventor:	Hua Li
					Art Unit	2828
					Examiner Name	Hung T. Vy
Sheet	1	of	2	Attorney Docket Number		42.P14872
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		us-	5,867,512	02/02/1999	Sacher	
		us-	5,838,713	11/17/1998	Shimoji	
		us-	5,596,456	01/21/1997	Luecke	
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴ Number ⁴ Kind Code ⁵ (if known)				
		PCT/US99/24137	10/15/1999	PCT International Search Report		
		PCT/US02/21413	07/05/2002	PCT International Search Report		
		WO 00/49689	02/18/2000	New Focus, Inc.		
		EP0951112 A2	03/31/1999	European Patent Application		
		EP0687045 A2	06/06/1995	European Patent Application		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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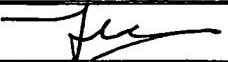
Complete if Known

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Art Unit	2828
Examiner Name	Hung T. Vi

Attorney Docket Number 42.P14872

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
HV		N.A. OLSON et al., "Performance Characteristics of 1.5 μm External Cavity Semiconductor Lasers for Coherent Optical Communication" Journal of Lightwave Technology LT-5 (1987) 510-515, April, No. 4, New York, New York, USA	
H		ZHANG et al., "An Interference Filter Based External Cavity Laser For Dense Wavelength Division Multiplexing Applications", Technical Proceedings, Vol. 1, National Fiber Optics Engineers Conference, September 13-17, 1998.	
H		ZORABEDIAN et al., "Interference-filter-tuned, alignment-stabilized, semiconductor external-cavity laser", Reprinted from Optics Letters, Vol. 13, page 826, October, 1988.	

Examiner Signature		Date Considered	3/14/05
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